

On sequential basis replacement in matroids

Dani Kotlar, Elad Roda and Ran Ziv

Tel-Hai College, Israel

Matroids

- A **matroid** \mathcal{M} is a closed down hypergraph over a ground set whose maximal sets are all of the same size.

Matroids

- A **matroid** \mathcal{M} is a closed down hypergraph over a ground set whose maximal sets are all of the same size.
- An equivalent definition:

for $X, Y \in \mathcal{M}$, if $|X| > |Y|$ then there exists $x \in X$ such that $Y + x \in \mathcal{M}$

Matroids

- A **matroid** \mathcal{M} is a closed down hypergraph over a ground set whose maximal sets are all of the same size.

- An equivalent definition:

for $X, Y \in \mathcal{M}$, if $|X| > |Y|$ then there exists $x \in X$ such that $Y + x \in \mathcal{M}$

- A **basis** in a matroid is a maximal set.

Matroids

- A **matroid** \mathcal{M} is a closed down hypergraph over a ground set whose maximal sets are all of the same size.

- An equivalent definition:

for $X, Y \in \mathcal{M}$, if $|X| > |Y|$ then there exists $x \in X$ such that $Y + x \in \mathcal{M}$

- A **basis** in a matroid is a maximal set.
- The sets in \mathcal{M} are called **independent sets**

Matroids

- A **matroid** \mathcal{M} is a closed down hypergraph over a ground set whose maximal sets are all of the same size.

- An equivalent definition:

for $X, Y \in \mathcal{M}$, if $|X| > |Y|$ then there exists $x \in X$ such that $Y + x \in \mathcal{M}$

- A **basis** in a matroid is a maximal set.
- The sets in \mathcal{M} are called **independent sets**
- A **circuit** is a minimal non-independent set.

Examples of matroids

Examples of matroids

- **Partition matroid**

Ground set - any set S . Given a partition $S = P_1 \cup P_2 \cup \dots \cup P_n$

The matroid consists of all the sets containing at most one element from each P_i

Examples of matroids

- **Partition matroid**

Ground set - any set S . Given a partition $S = P_1 \cup P_2 \cup \dots \cup P_n$

The matroid consists of all the sets containing at most one element from each P_i

- **Graphic matroid**

Ground set - the edges in a given graph G

The matroid consists of all the forests in G

Examples of matroids

- **Partition matroid**

Ground set - any set S . Given a partition $S = P_1 \cup P_2 \cup \dots \cup P_n$

The matroid consists of all the sets containing at most one element from each P_i

- **Graphic matroid**

Ground set - the edges in a given graph G

The matroid consists of all the forests in G

- **Linear matroid** (also known as **vectorial** or **representable**)

Ground set - a set of vectors in a vector space.

The matroid consists of all the linearly independent sets

The (symmetric) base exchange problem

Gabow (*Mathematical Programming* 1976):

Given two bases A and B of a matroid \mathcal{M} , can we exchange their elements one by one, so that after each exchange the resulting sets are bases?

The (symmetric) base exchange problem

Gabow (*Mathematical Programming* 1976):

Given two bases A and B of a matroid \mathcal{M} , can we exchange their elements one by one, so that after each exchange the resulting sets are bases?

There is a more general version of this problem by Kajitani and Sugishita (1983)

Some definitions and facts

- For a basis B and $x \notin B$ there is a unique subset $I \subseteq B$ such that $I + x$ is a circuit. We call I the support of x in: $\text{supp}(x, B)$

Some definitions and facts

- For a basis B and $x \notin B$ there is a unique subset $I \subseteq B$ such that $I + x$ is a circuit. We call I the support of x in: **supp**(x, B)
- For two bases A and B , and two elements $a \in A$ and $b \in B$, we say that a and b are **exchangeable** if $A - a + b$ and $B - b + a$ are bases.

Some definitions and facts

- For a basis B and $x \notin B$ there is a unique subset $I \subseteq B$ such that $I + x$ is a circuit. We call I the support of x in: $\text{supp}(x, B)$
- For two bases A and B , and two elements $a \in A$ and $b \in B$, we say that a and b are **exchangeable** if $A - a + b$ and $B - b + a$ are bases.
- $a \in A$ and $b \in B$ are exchangeable if and only if $a \in \text{supp}(b, A)$ and $b \in \text{supp}(a, B)$

-

Some definitions and facts

- For a basis B and $x \notin B$ there is a unique subset $I \subseteq B$ such that $I + x$ is a circuit. We call I the support of x in: $\text{supp}(x, B)$
- For two bases A and B , and two elements $a \in A$ and $b \in B$, we say that a and b are **exchangeable** if $A - a + b$ and $B - b + a$ are bases.
- $a \in A$ and $b \in B$ are exchangeable if and only if $a \in \text{supp}(b, A)$ and $b \in \text{supp}(a, B)$
- for any $a \in A$ there exists $b \in B$ such that a and b are exchangeable.

The exchange problem in linear matroids

- In linear matroids, given two bases A and B , the existence of a solution to the basis exchange problem depends on their transition matrix.

The exchange problem in linear matroids

- In linear matroids, given two bases A and B , the existence of a solution to the basis exchange problem depends on their transition matrix.
- So, instead of studying bases we have to study non-singular matrices over a field.

The exchange problem in linear matroids

- In linear matroids, given two bases A and B , the existence of a solution to the basis exchange problem depends on their transition matrix.
- So, instead of studying bases we have to study non-singular matrices over a field.

$$A = \{a_1, a_2, \dots, a_n\}$$

$$B = \{b_1, b_2, \dots, b_n\}$$

The exchange problem in linear matroids

- In linear matroids, given two bases A and B , the existence of a solution to the basis exchange problem depends on their transition matrix.
- So, instead of studying bases we have to study non-singular matrices over a field.

$$\begin{array}{l} A = \{a_1, a_2, \dots, a_n\} \\ B = \{b_1, b_2, \dots, b_n\} \end{array} \quad M = M_{AB} = \begin{array}{l} \text{the transition matrix} \\ \text{from A to B} \end{array}$$

The exchange problem in linear matroids

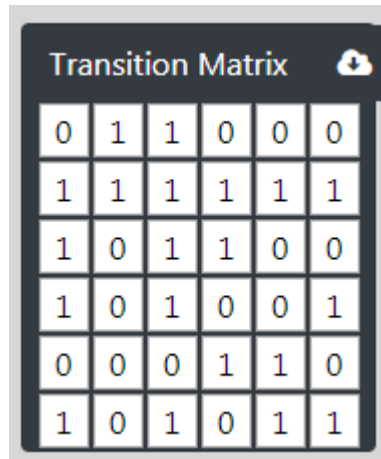
- In linear matroids, given two bases A and B , the existence of a solution to the basis exchange problem depends on their transition matrix.
- So, instead of studying bases we have to study non-singular matrices over a field.

$$\begin{array}{l} A = \{a_1, a_2, \dots, a_n\} \\ B = \{b_1, b_2, \dots, b_n\} \end{array} \quad M = M_{AB} = \begin{array}{l} \text{the transition matrix} \\ \text{from A to B} \end{array}$$

a_i and b_j are exchangeable if and only if

$$M_{ij} \neq 0 \text{ and } M_{ji}^{-1} \neq 0$$

An illustration over \mathbb{Z}_2



A screenshot of a software interface titled "Transition Matrix" with a download icon. It displays a 6x6 matrix of binary values (0s and 1s) arranged in a grid.

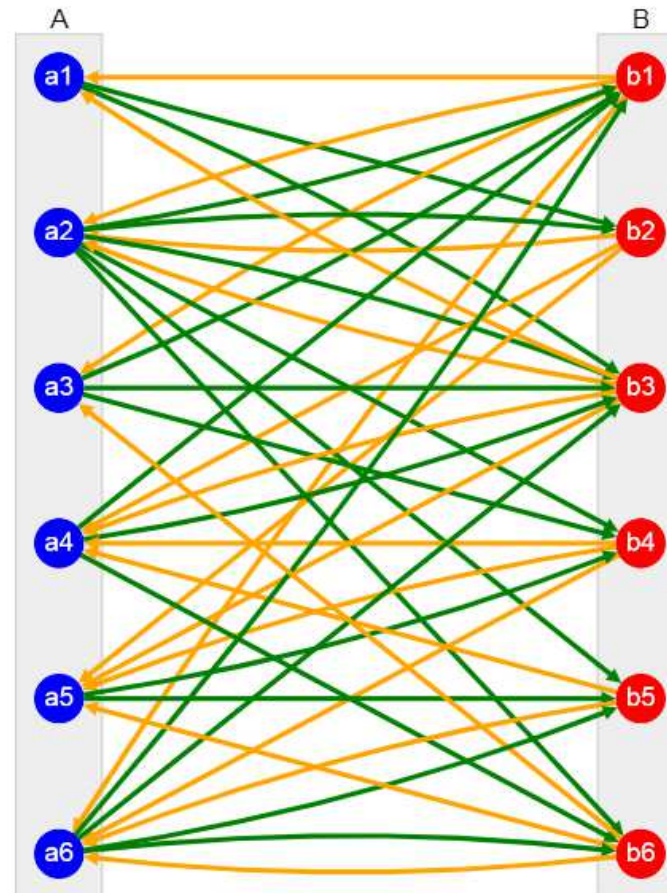
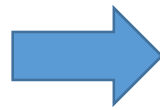
Transition Matrix					
0	1	1	0	0	0
1	1	1	1	1	1
1	0	1	1	0	0
1	0	1	0	0	1
0	0	0	1	1	0
1	0	1	0	1	1

Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

An illustration over Z_2

0	1	1	0	0	0
1	1	1	1	1	1
1	0	1	1	0	0
1	0	1	0	0	1
0	0	0	1	1	0
1	0	1	0	1	1

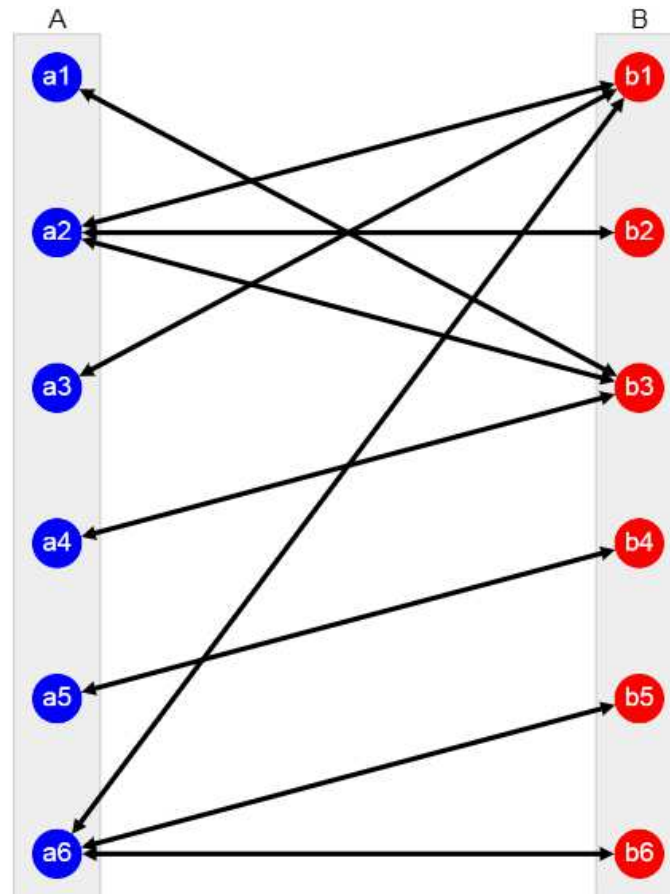
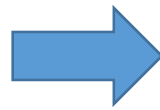


Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

An illustration over Z_2

0	1	1	0	0	0
1	1	1	1	1	1
1	0	1	1	0	0
1	0	1	0	0	1
0	0	0	1	1	0
1	0	1	0	1	1

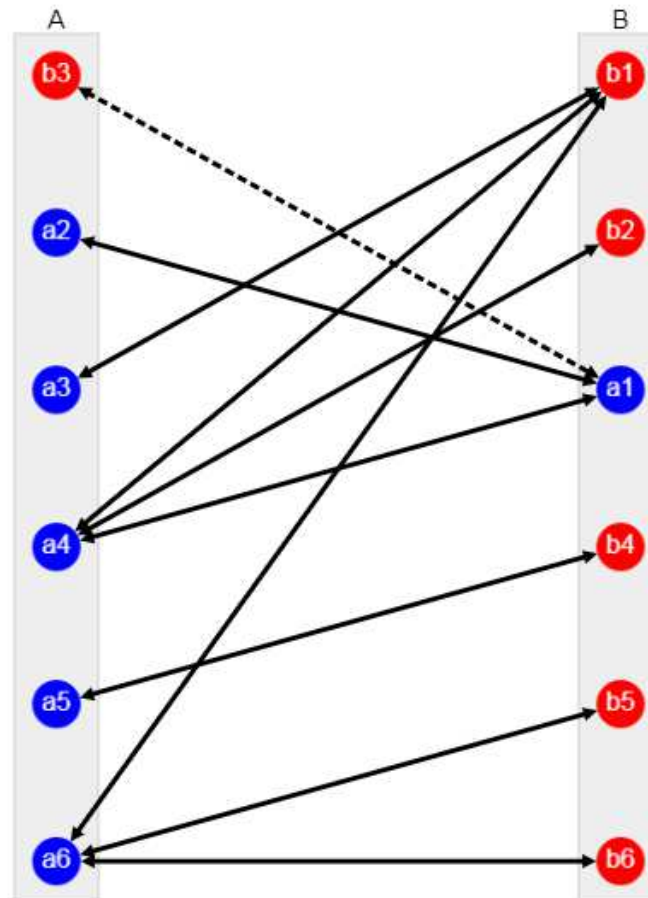
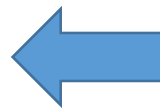


Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

An illustration over \mathbb{Z}_2

0	1	1	0	0	0
1	0	1	1	1	1
1	1	1	1	0	0
1	1	1	0	0	1
0	0	0	1	1	0
1	1	1	0	1	1

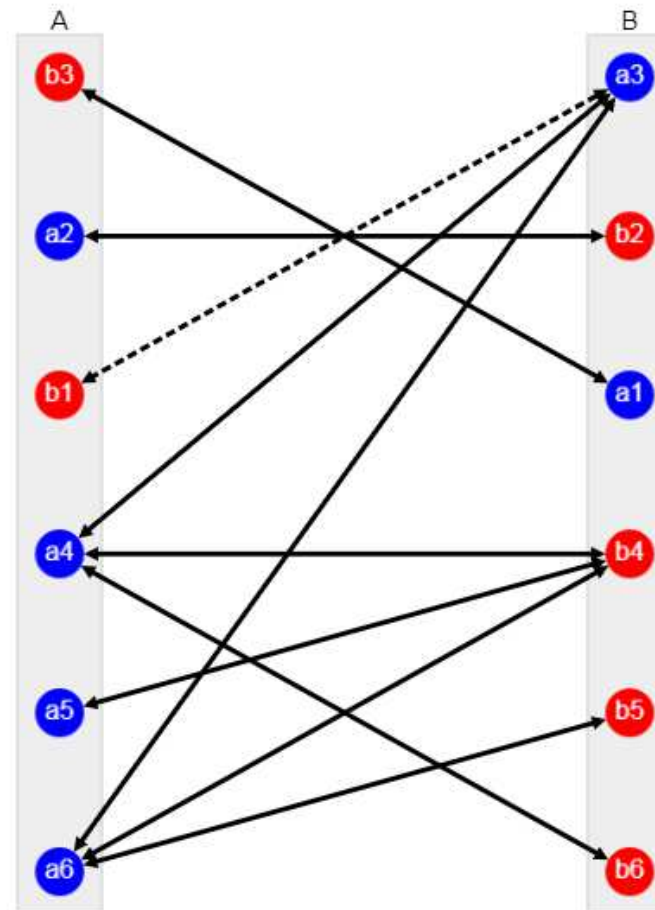
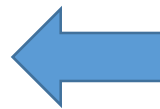


Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

An illustration over \mathbb{Z}_2

0	1	1	0	0	0
1	1	0	0	1	1
1	1	1	1	0	0
1	0	0	1	0	1
0	0	0	1	1	0
1	0	0	1	1	1

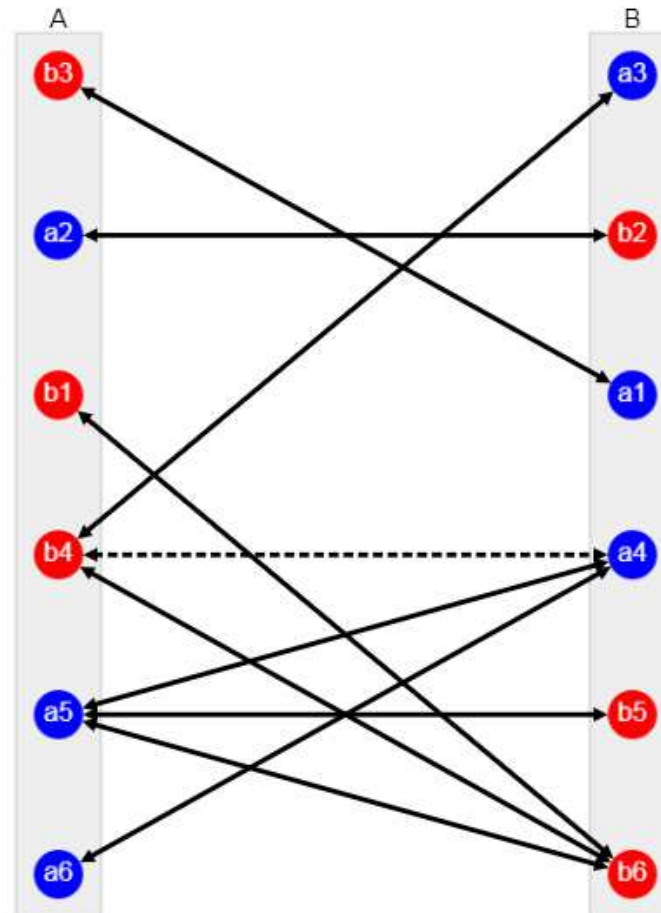
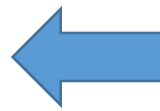


Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

An illustration over \mathbb{Z}_2

0	1	1	0	0	0
1	1	0	0	1	1
0	1	1	1	0	1
1	0	0	1	0	1
1	0	0	1	1	1
0	0	0	1	1	0

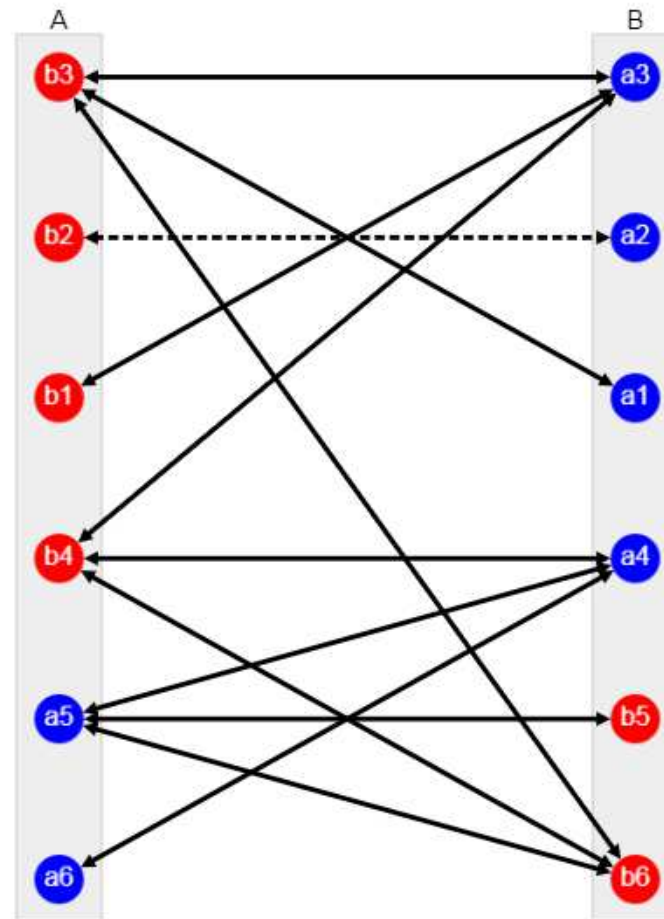
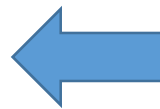


Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

An illustration over \mathbb{Z}_2

1	1	1	0	1	1
1	1	0	0	1	1
1	1	1	1	1	0
1	0	0	1	0	1
1	0	0	1	1	1
0	0	0	1	1	0

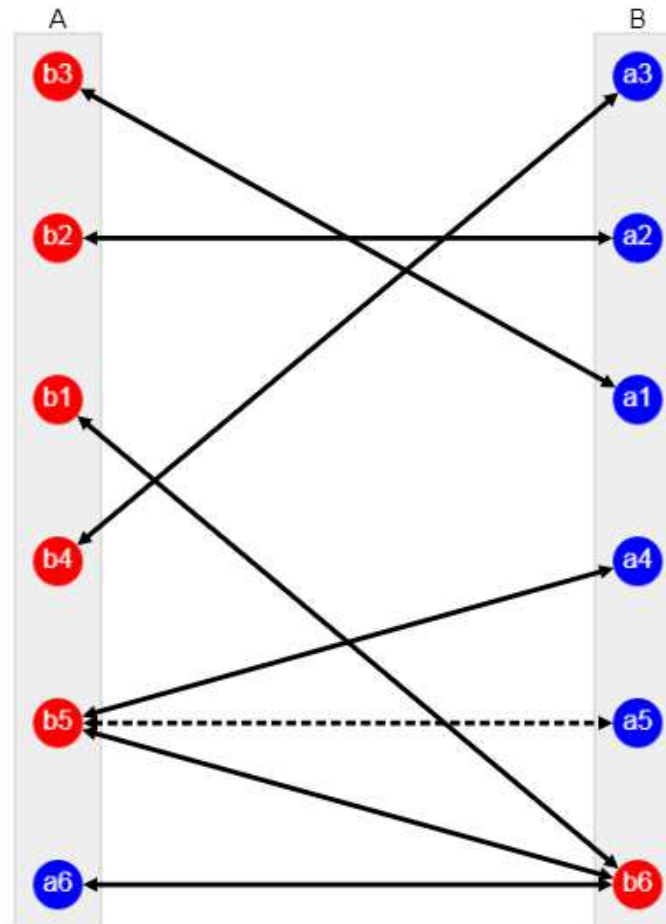
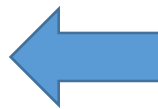


Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

An illustration over Z_2

0	1	1	1	1	0
0	1	0	1	1	0
0	1	1	0	1	1
1	0	0	1	0	1
1	0	0	1	1	1
1	0	0	0	1	1

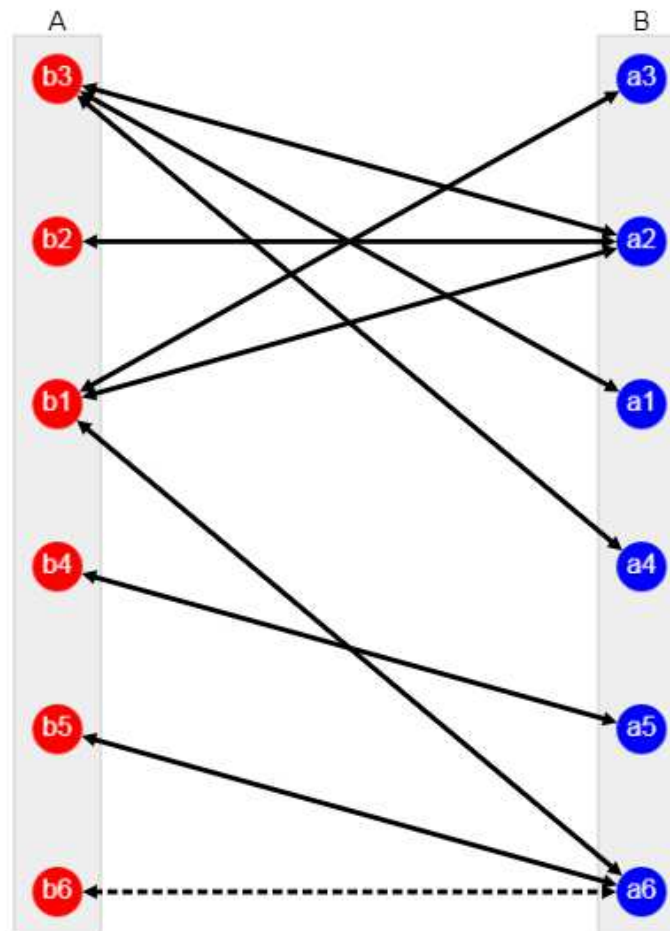
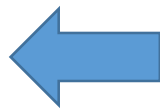


Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

An illustration over \mathbb{Z}_2

0	1	1	1	1	0
0	1	0	1	1	0
1	1	1	0	0	1
0	0	0	1	1	1
0	0	0	1	0	1
1	0	0	0	1	1



Software by Tal Abziz

<https://abziz.github.io/BasisReplacement/#>

A problem about determinants

Weidemann: (1984, *unpublished*):

A positive answer to the basis exchange problem for linear matroids is equivalent to a positive answer for the following problem:

A problem about determinants

Weidemann: (1984, *unpublished*):

A positive answer to the basis exchange problem for linear matroids is equivalent to a positive answer for the following problem:

Can the rows and columns of any non-singular matrix be rearranged so that all the principal minors and their complements are nonzero?

A problem about determinants

$$M = M_{AB} = \begin{pmatrix} \neq 0 & & & \\ & & & \\ & & & \\ & & & \end{pmatrix} \quad ?$$

A problem about determinants

$$M = M_{AB} = \begin{pmatrix} \neq 0 & & \\ & \neq 0 & \\ & & \end{pmatrix} \quad ?$$

A problem about determinants

$$M = M_{AB} = \begin{pmatrix} \neq 0 & & & \\ & & & \\ & & & \\ & & & \neq 0 \end{pmatrix} \quad ?$$

A problem about determinants

$$M = M_{AB} = \begin{pmatrix} \boxed{\neq 0} & & \\ & & \\ & & \boxed{\neq 0} \end{pmatrix} \quad ?$$

A problem about determinants

$$M = M_{AB} = \left(\begin{array}{c|c} \neq 0 & \\ \hline & \neq 0 \end{array} \right) \quad ?$$

A problem about determinants

$$M = M_{AB} = \left(\begin{array}{c|c} \boxed{\neq 0} & \\ \hline & \boxed{\neq 0} \end{array} \right) \quad ?$$

A problem about determinants

$$M = M_{AB} = \begin{array}{|c|} \hline \text{ } \\ \hline \end{array} \neq 0 \quad ?$$

The diagram shows a matrix $M = M_{AB}$ represented as a large blue square with a smaller blue square at its bottom-right corner. Both the large square and the small square are labeled with $\neq 0$. A large question mark $?$ is positioned to the right of the matrix, indicating a problem or question about the determinant.

Towards a solution

Farber, Richter, and Shank (*J Graph Theory* 1985):

The answer to the base exchange problem is positive for graphic matroids.

Towards a solution

Farber, Richter, and Shank (*J Graph Theory* 1985):

The answer to the base exchange problem is positive for graphic matroids.

sketch of proof:

Exclude a vertex of minimal degree and apply induction.

Towards a solution

K, Ziv (*J Graph Theory* 2013):

Any two elements of one of the bases can be sequentially exchanged with some two elements of the other basis.

Towards a solution

K, Ziv (*J Graph Theory* 2013):

Any two elements of one of the bases can be sequentially exchanged with some two elements of the other basis.



The answer to the base exchange problem is positive for any matroid of degree at most 4.

Towards a solution

K (*SIAM J. Disc Math* 2013):

There are always three consecutive exchanges.

Towards a solution

K (*SIAM J. Disc Math* 2013):

There are always three consecutive exchanges.



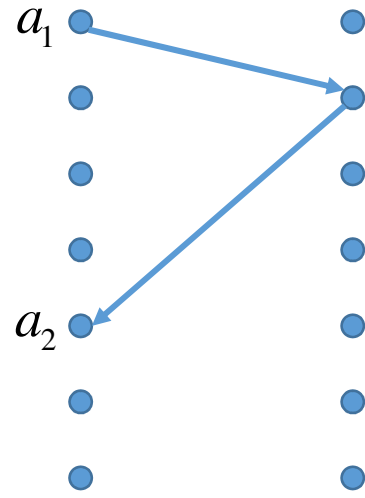
The answer to the base exchange problem is positive for any matroid of degree at most 5 (but possibly with 6 exchanges).

Tools

- Double path

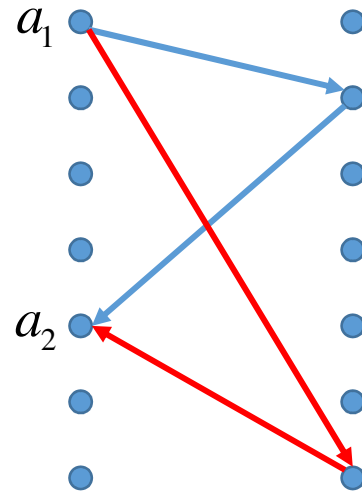
Tools

- Double path



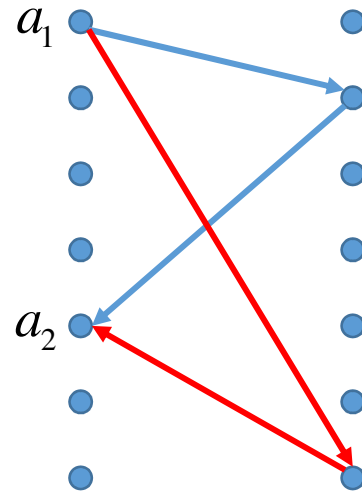
Tools

- Double path



Tools

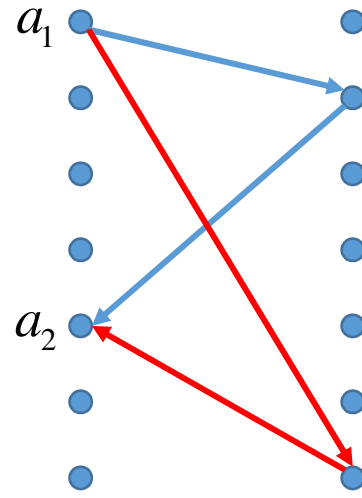
- Double path



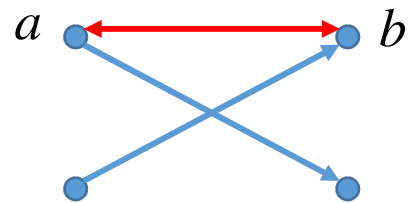
- X-lemma

Tools

- Double path

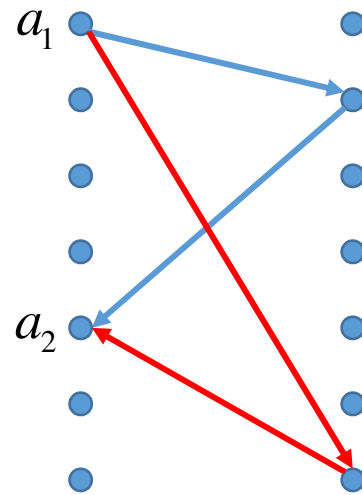


- X-lemma

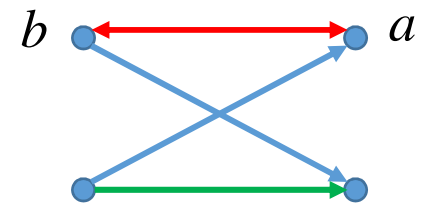
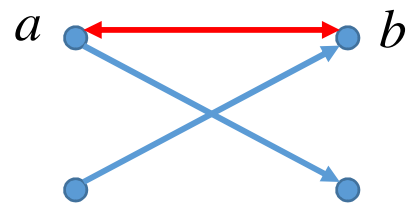


Tools

- Double path

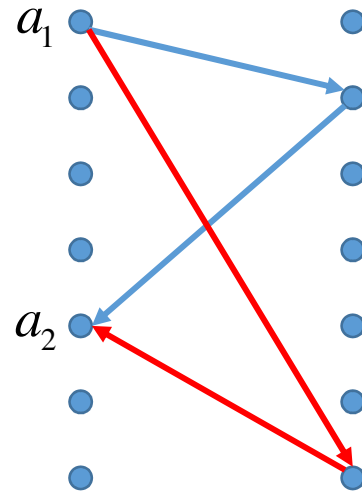


- X-lemma

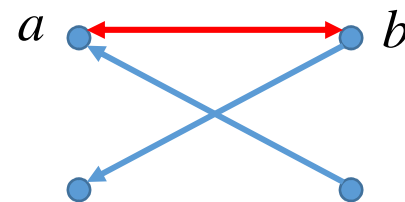
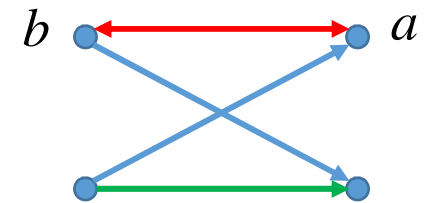
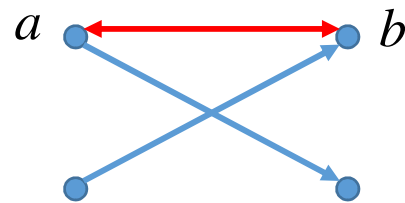


Tools

- Double path

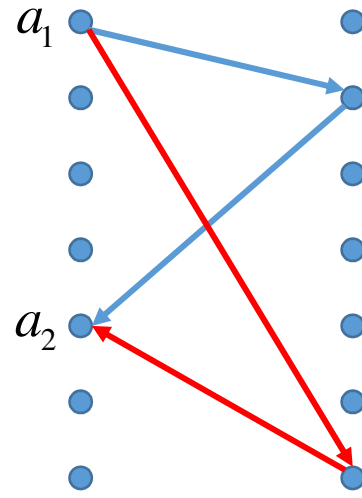


- X-lemma

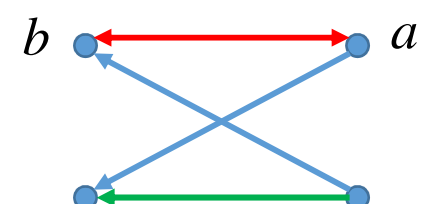
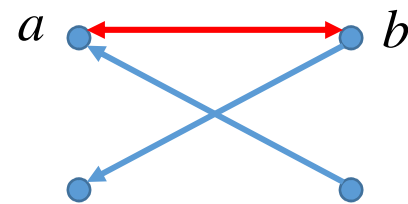
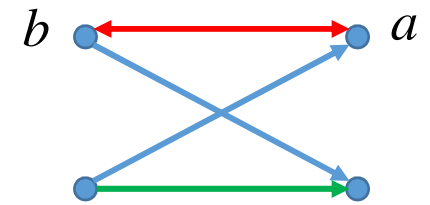
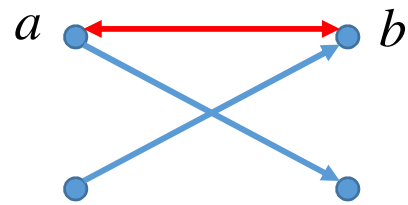


Tools

- Double path

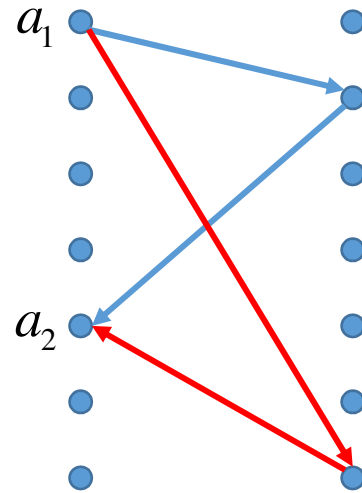


- X-lemma



Tools

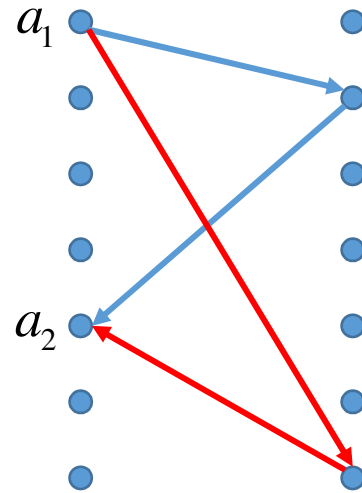
- Double path



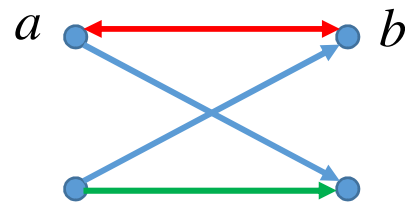
- X-lemma
(binary case only)

Tools

- Double path

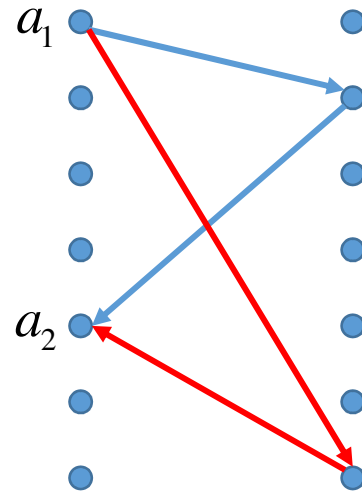


- X-lemma
(binary case only)

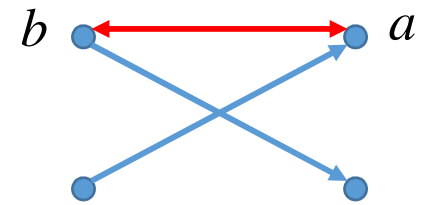
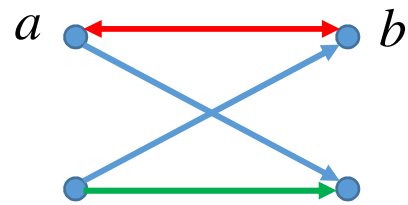


Tools

- Double path

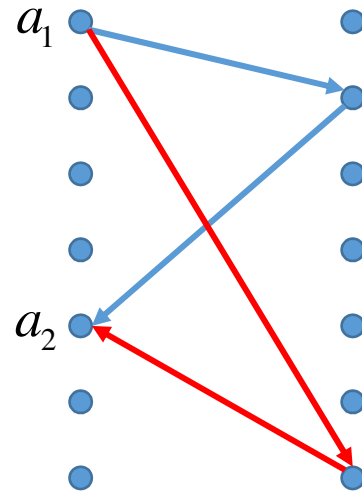


- X-lemma
(binary case only)

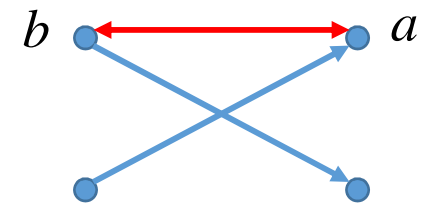
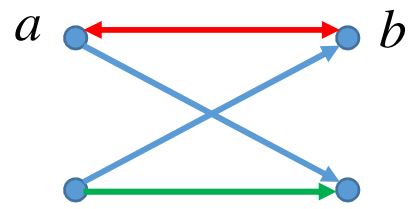


Tools

- Double path

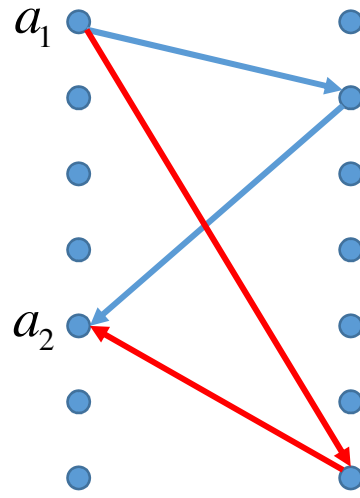


- X-lemma
(binary case only)

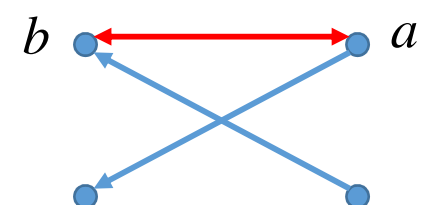
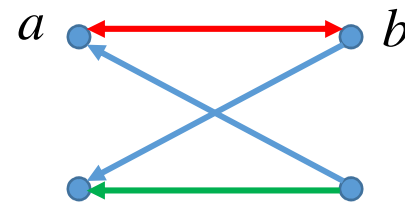
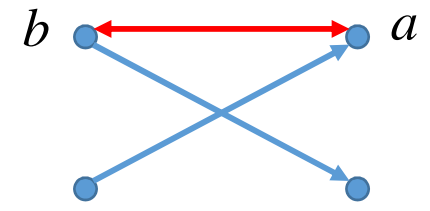
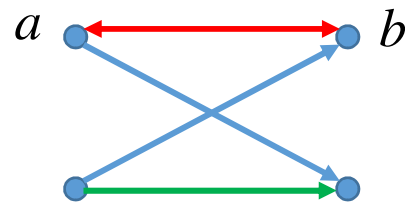


Tools

- Double path



- X-lemma
(binary case only)



The base-cobase graph problem

\mathcal{M} is a **block matroid** (the ground set S is the disjoint union of two bases)

The base-cobase graph problem

\mathcal{M} is a **block matroid** (the ground set S is the disjoint union of two bases)

$G(V, E)$ = the base-cobase graph (Cordovil, Moreira)

The base-cobase graph problem

\mathcal{M} is a **block matroid** (the ground set S is the disjoint union of two bases)

$G(V, E)$ = the base-cobase graph (Cordovil, Moreira)

$V = \{B \in \mathcal{M} \mid B \text{ and } S - B \text{ are bases}\}$

The base-cobase graph problem

\mathcal{M} is a **block matroid** (the ground set S is the disjoint union of two bases)

$G(V, E)$ = the base-cobase graph (Cordovil, Moreira)

$V = \{B \in \mathcal{M} \mid B \text{ and } S - B \text{ are bases}\}$

$(B, B') \in E \Leftrightarrow |B \Delta B'| = 2$

The base-cobase graph problem

\mathcal{M} is a **block matroid** (the ground set S is the disjoint union of two bases)

$G(V, E)$ = the base-cobase graph (Cordovil, Moreira)

$V = \{B \in \mathcal{M} \mid B \text{ and } S - B \text{ are bases}\}$

$(B, B') \in E \Leftrightarrow |B \Delta B'| = 2$

Conjecture 1:

In a block matroid the base-cobase graph is connected

The base-cobase graph problem

\mathcal{M} is a **block matroid** (the ground set S is the disjoint union of two bases)

$G(V, E)$ = the base-cobase graph (Cordovil, Moreira)

$V = \{B \in \mathcal{M} \mid B \text{ and } S - B \text{ are bases}\}$

$(B, B') \in E \Leftrightarrow |B \Delta B'| = 2$

Conjecture 1:

In a block matroid the base-cobase graph is connected

Conjecture 2:

In a block matroid of rank n the diameter of the base-cobase graph is n

The base-cobase graph problem

Cordovil and Moreira (*Combinatorica*, 1993):
Conjecture 2 holds for graphic matroids.

Easing the problem: basis replacement

An easy problem:

Given two bases A and B of a matroid \mathcal{M} , can the elements of B , given in a fixed order, replace elements of A , one by one, so that after each replacement the resulting set is a basis?

Easing the problem: basis replacement

An easy problem:

Given two bases A and B of a matroid \mathcal{M} , can the elements of B , given in a fixed order, replace elements of A , one by one, so that after each replacement the resulting set is a basis?

A restriction:

$b \in B$ will replace $a \in A$ only if it could have replaced it in the original setup.

Sequential basis replacement

Theorem 1 (K, Roda, Ziv , 2019+)

Let $A = \{a_1, a_2, \dots, a_n\}$ and $B = \{b_1, b_2, \dots, b_n\}$ be bases of a matroid \mathcal{M} . There exists a permutation σ on $\{1, 2, \dots, n\}$ such that for all $k \in \{1, 2, \dots, n\}$

(i) $A - a_{\sigma(k)} + b_k$ is a basis

(ii) $A - \{a_{\sigma(1)}, \dots, a_{\sigma(k)}\} \cup \{b_1, \dots, b_k\}$ is a basis

Sequential basis replacement

Theorem 1 (K, Roda, Ziv , 2019+)

Let $A = \{a_1, a_2, \dots, a_n\}$ and $B = \{b_1, b_2, \dots, b_n\}$ be bases of a matroid \mathcal{M} . There exists a permutation σ on $\{1, 2, \dots, n\}$ such that for all $k \in \{1, 2, \dots, n\}$

(i) $A - a_{\sigma(k)} + b_k$ is a basis

(ii) $A - \{a_{\sigma(1)}, \dots, a_{\sigma(k)}\} \cup \{b_1, \dots, b_k\}$ is a basis

Two proofs:

1) For the linear matroid case

2) For the general case (using matroid contraction)

The linear matroid case

In this case only the transition matrix matters.

The linear matroid case

In this case only the transition matrix matters.

The result follows from an easy fact:

The rows of any non-singular matrix can be rearranged so that all the principal minors and all the diagonal elements are nonzero.

A problem about determinants

$$M = M_{AB} = \begin{pmatrix} \begin{matrix} \neq 0 \\ \neq 0 \end{matrix} \\ \vdots \\ \vdots \end{pmatrix} ?$$

A problem about determinants

$$M = M_{AB} = \begin{pmatrix} \begin{matrix} \neq 0 \\ \neq 0 \end{matrix} \\ \\ \\ \end{pmatrix}$$

A problem about determinants

$$M = M_{AB} = \left(\begin{array}{c} \begin{array}{c} \text{blue square} \\ \neq 0 \end{array} \\ \text{orange square} \\ \neq 0 \end{array} \right)$$

A problem about determinants

$$M = M_{AB} = \left(\begin{array}{c} \text{blue square } \neq 0 \\ \text{orange square } \neq 0 \\ \text{empty space} \end{array} \right)$$

A problem about determinants

$$M = M_{AB} = \left(\begin{array}{c} \text{blue square} \\ \text{orange square} \end{array} \right)$$

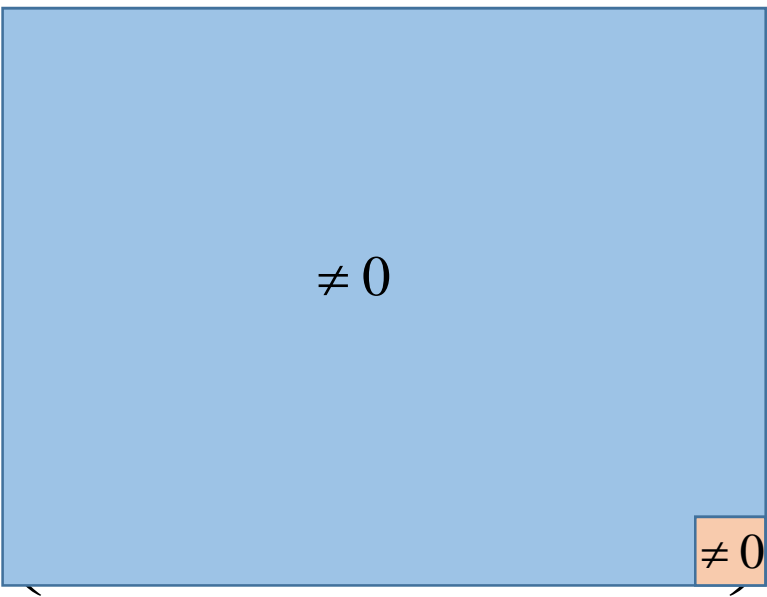
The diagram shows a large blue square containing the text $\neq 0$. A smaller orange square is attached to the bottom-right corner of the blue square, also containing the text $\neq 0$. The entire structure is enclosed in large parentheses.

A problem about determinants

$$M = M_{AB} = \left(\begin{array}{c} \text{blue square} \\ \text{orange square} \end{array} \right)$$

The diagram shows a large blue square representing a matrix. In the center of this square is the text $\neq 0$. In the bottom-right corner of the blue square, there is a smaller orange square containing the text $\neq 0$. The entire blue square is enclosed in large parentheses on the right side.

A problem about determinants

$$M = M_{AB} = \begin{matrix} \square & \neq 0 \\ \neq 0 \end{matrix}$$


The linear matroid case

... and the fact that after each replacement the transition matrix entries are (up to a nonzero scalar constant) minors of the original transition matrix.

The linear matroid case

... and the fact that after each replacement the transition matrix entries are (up to a nonzero scalar constant) minors of the original transition matrix.

This follows from:

$$\det \left[(a_{ij})_{\substack{1 \leq i \leq n \\ 1 \leq j \leq n}} \right] \cdot \det \left[(a_{ij})_{\substack{i \neq k, k' \\ j \neq l, l'}} \right] = \det \left[\begin{array}{cc} \det \left[(a_{ij})_{\substack{i \neq k \\ j \neq l}} \right] & \det \left[(a_{ij})_{\substack{i \neq k \\ j \neq l'}} \right] \\ \det \left[(a_{ij})_{\substack{i \neq k' \\ j \neq l}} \right] & \det \left[(a_{ij})_{\substack{i \neq k' \\ j \neq l'}} \right] \end{array} \right]$$

The linear matroid case

... and the fact that after each replacement the transition matrix entries are (up to a nonzero scalar constant) minors of the original transition matrix.

This follows from:

$$\det \begin{bmatrix} (a_{ij})_{1 \leq i \leq n} \\ 1 \leq j \leq n \end{bmatrix} \cdot \det \begin{bmatrix} (a_{ij})_{i \neq k, k'} \\ j \neq l, l' \end{bmatrix} = \det \begin{bmatrix} \det \begin{bmatrix} (a_{ij})_{i \neq k} \\ j \neq l \end{bmatrix} & \det \begin{bmatrix} (a_{ij})_{i \neq k} \\ j \neq l' \end{bmatrix} \\ \det \begin{bmatrix} (a_{ij})_{i \neq k'} \\ j \neq l \end{bmatrix} & \det \begin{bmatrix} (a_{ij})_{i \neq k'} \\ j \neq l' \end{bmatrix} \end{bmatrix}$$



(Dodgson's Condensation Theorem, 1866)

A related result

Observation (Bruualdi, 1969):

Given two bases A and B of a matroid \mathcal{M} , there is a bijection $\tau : A \rightarrow B$ such that $A - a + \tau(a)$ is a basis for all $a \in A$.

A related result

Observation (Bruualdi, 1969):

Given two bases A and B of a matroid \mathcal{M} , there is a bijection $\tau : A \rightarrow B$ such that $A - a + \tau(a)$ is a basis for all $a \in A$.

(Follows easily from Hall's theorem)

A related result

Observation (Brualdi, 1969):

Given two bases A and B of a matroid \mathcal{M} , there is a bijection $\tau : A \rightarrow B$ such that $A - a + \tau(a)$ is a basis for all $a \in A$.

(Follows easily from Hall's theorem)

Theorem 1 provides a proof not relying on Hall's theorem

A generalization

Theorem 2 (Donald and Tobey, 1991) :

Given two bases A and B of a matroid \mathcal{M} of rank n , for each $k = 1, \dots, n$ there is a bijection

$$\tau : I \in \binom{A}{k} \rightarrow \binom{B}{k}$$

such that $A - I \cup \tau(I)$ is always a basis.

A generalization

Theorem 2 (Donald and Tobey, 1991) :

Given two bases A and B of a matroid \mathcal{M} of rank n , for each $k = 1, \dots, n$ there is a bijection

$$\tau : I \in \binom{A}{k} \rightarrow \binom{B}{k}$$

such that $A - I \cup \tau(I)$ is always a basis.

Proof 1 (Donald and Tobey) uses Hall's theorem.

A generalization

Theorem 2 (Donald and Tobey, 1991) :

Given two bases A and B of a matroid \mathcal{M} of rank n , for each $k = 1, \dots, n$ there is a bijection

$$\tau : I \in \binom{A}{k} \rightarrow \binom{B}{k}$$

such that $A - I \cup \tau(I)$ is always a basis.

Proof 1 (Donald and Tobey) uses Hall's theorem.

Proof 2 (K, Roda, Ziv, 2019+) w/o Hall's theorem.

Thank you!